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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231.

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jc841 U.S. PRO
09/669087
09/25/00

Assistant Commissioner of Patents
Box Patent Application-FEE
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s) : Carl P. Pearson
For VIDEO GAME SYSTEM USING TRADING CARDS

Enclosed are:

- [x] 2 sheet(s) of informal drawing(s), Figures 1-2.
- [x] A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27
- [x] The filing fee has been calculated as shown below:

SMALL ENTITY

For:	No. Filed	No. Extra	Rate	Fee
Utility Fee				\$345
Total Claims:	27	9	x 9=	\$ 81
Independent Claims:	2	0	x 39=	\$-0-
TOTAL:				<u>\$426.00</u>

- [x] A check in the amount of \$426.00 is enclosed.
- [x] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1900. A duplicate copy of this sheet is enclosed.
- [x] Any additional filing fees required under 37 C.F.R. 1.16.
- [X] Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

JENSEN & PUNTIGAM, P.S.

By Clark A. Puntigam
Clark A. Puntigam #25,763

CAP:gh
Phone: (206)448-3200
Encls: Application, Claims, 2 Sheets Drawings,
Declaration, Verified Statement, Check, Postcard

09/25/00 09/25/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Carl P. Pearson
For: **VIDEO GAME SYSTEM USING TRADING CARDS**

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37
C.F.R. §§1.9(f) AND 1.27(c)) -- SMALL BUSINESS CONCERN

I declare that I am:

☐ the owner of the small business concern
identified below.

☒ an official of the small concern
empowered to act on behalf of the concern
identified below.

NAME OF CONCERN Hero, Inc.

ADDRESS OF CONCERN P.O. Box 70525, Seattle, WA 98107

I declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §121.3-18 and reproduced in 37 C.F.R. §1.9(d) for purposes of paying reduced fees under 35 U.S.C. §41(a) and 41(b) in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I declare that rights under contract or law have been conveyed to and remain with the small business concern with regard to the invention entitled:

VIDEO GAME SYSTEM USING TRADING CARDS

by inventor(s) Carl P. Pearson

as described in:

☒ the specification filed herewith.

If the rights held by the small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. §1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).*

*NOTE: Separate verified statements are required from each named person, concern and organization having rights to the invention averring to his/its status as a small entity. (37 C.F.R. §1.27)

FULL NAME

ADDRESS

- [] individual
[] small business concern
[] nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. §1.28(b))

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that the making of willfully false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Carl P. Pearson
TITLE OF PERSON OTHER THAN OWNER President
ADDRESS OF PERSON SIGNING ~~8227 229th St. SW,~~ Edmonds, WA 98026
16311 75th PL. WEST,
SIGNATURE Carl P. Pearson DATE 9/25/2000

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